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# Prioritization of Program Elements Based on Their Testing Requirements

*Thesis submitted in partial fulfillment of  
the requirements for the degree  
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## Certificate

This is to certify that the thesis entitled Prioritization of Program Elements Based on Their Testing Requirements, submitted by Kanhaiya Lal Kumawat, a B.TECH student, in the Department of Computer Science and Engineering, National Institute of Technology, Rourkela, India, in the partial fulfillment for the award of the degree of Bachelor of Technology, is a record of an original research work carried out by him under our supervision and guidance. The thesis fulfills all requirements as per the regulations of this Institute and in our opinion has reached the standard needed for submission. Neither this thesis nor any part of it has been submitted for any degree or academic award elsewhere.

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## **Abstract**

Even after thorough testing of a program, usually a few bugs still remain. These residual bugs are usually uniformly distributed throughout the code. It is observed that bugs in some parts of a program can cause more frequent and more severe failures compared to those in other parts. It should, then be possible to prioritize the statements, methods and classes of an object-oriented program according to their potential to cause failures. Once the program elements have been prioritized, the testing effort can be apportioned so that the elements causing most frequent failure are tested more. Based on this idea, in this paper we propose a program metric called the influence of program elements. Influence of a class indicates the potential of class to cause failures. In this approach, we have used the intermediate graph representation of a program. The influence of a class is determined through a forward slicing of the graph. Our proposed program metric can be useful in applications such as coding, debugging, test case design and maintenance etc.

**Key Words:** Prioritization of Program Elements, Slicing, Intermediate representation, Program testing, Object-oriented programming.

# Contents

abstract . . . . .	1
<b>1 Introduction</b>	<b>5</b>
1.1 Motivation for our work . . . . .	5
1.2 Objective of our work . . . . .	6
1.3 Organization of thesis . . . . .	6
<b>2 Related Work</b>	<b>8</b>
2.1 Usage-Based Reading (UBR) technique . . . . .	8
2.2 Profiling . . . . .	9
<b>3 Basic Concepts</b>	<b>10</b>
3.1 Slicing . . . . .	10
3.2 Program Representation . . . . .	11
3.2.1 Control Flow Graph . . . . .	11
3.2.2 Program Dependence Graph . . . . .	11
3.2.3 System Dependence Graph . . . . .	11
3.2.4 Extended System Dependence Graph (ESDG) . . . . .	12
<b>4 Proposed Methods</b>	<b>14</b>
4.1 Prioritization of Program Elements . . . . .	14
4.1.1 Overview of Our Approach . . . . .	14
4.1.2 Influence of a Statement . . . . .	14

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4.1.3	Influence of a Method . . . . .	15
4.1.4	Influence of a class . . . . .	16
4.1.5	Complexity Analysis . . . . .	17
<b>5</b>	<b>Experimental Studies</b>	<b>18</b>
5.1	Threats to validity of results . . . . .	18
5.2	Measures taken to overcome the threats . . . . .	22
5.3	Results . . . . .	22
5.4	Conclusion . . . . .	23
	<b>References</b>	<b>24</b>

# List of Tables

5.1	Influence of elements of class Elevator . . . . .	18
5.2	Comparative Study of two techniques . . . . .	23



# List of Figures

3.1	Example program <i>main</i> and it's System dependence graph . . . . .	13
5.1	A C++ Elevator class and it's System Dependence Graph . . . . .	19
5.2	A C++ AlarmElevator class and its System Dependence Graph . . . . .	20
5.3	System Dependence Graph representation of an Application Program which uses classes Elevator and AlarmElevator . . . . .	21

# Chapter 1

## Introduction

Software solutions are increasingly permeating our everyday life. Software industries are in immense pressure to provide very reliable products where tolerance to bugs is very less. Usually testing of the software products is carried out in various levels to identify all defects existing in the software product. However, for most practical systems, even after satisfactorily carrying out the testing process, it is not possible to guarantee that a software product is error free. This situation is caused by the fact that input data domain of most software products is very large. Also, each software product development project is constrained by time and cost. As a result, it is not practical to test a software product exhaustively using each value that the input data may assume. At present, testing takes on an average 50% of the total development cost and time. Thus, possibility of increasing the testing effort any further appears bleak.

In traditional testing techniques, each element of the software product is tested with equal thoroughness. This causes usually a uniform distribution of bugs in the software product. But presence of bugs in some parts cause more severe and frequent failures than other parts. For example, if a statement produces crucial data that is useful for many other statements, then an error in this statement would affect many other statements. So our aim is to identify those more critical parts of a program, for which more exhaustive testing has to be carried out. We define influence of an element as the measure of criticality and severity of that element. We proposed a metric to compute the influence of a statement and influence of a method. With the help of these two metrics we can calculate the influence of a class. The characterization of code can help in designing, coding, testing and maintenance phases of software development cycle. We use Extended System Dependent Graph [8,9,10] for intermediate code representation.

## 1.1 Motivation for our work

In modern day society softwares are used in almost every work. The nature of these softwares can be of moderate to highly critical. Failures occurred in few softwares may not be of big concern while it can be disastrous in others like health monitoring softwares. Each software is to be developed in given time limits and in limited resources. Time and resources used in testing phase of software development cycle is about 50%. Now a days, most of the programs are object-oriented. These object-oriented programs are quite large and complex. It is much difficult to debug and test these products. Program slicing techniques have been found to be useful in applications such as program understanding, debugging, testing, software maintenance and reverse engineering.

Metrics help in appropriate design of test cases. The important problem during test case design is that certain statement or part of the program may be more crucial than others; hence they need to be tested more thoroughly than others.

Dynamic analysis of program run can't find the problems that don't happen in that run. Prioritizing of the statements and the functions were so far done based on the dynamic analysis. Criticality of the statements and the functions based on static analysis is not yet done.

This motivates us to develop a program metric for finding the influence of elements or object-oriented program. In the next section, we identify major goals of the thesis.

## 1.2 Objective of our work

The influence of different components of a program to the overall reliability of a program varies a great deal. Therefore, it is important to characterize the influence of various components and the more reliable components are to be tested thoroughly.

the main objective of our research work is to develop efficient algorithms to find the influence of a statement, influence of a method and influence of a class in a object-oriented program Objective of our work is to isolate the bugs from the software at early stages of software development cycle which can cause a frequent and severe failures to the software.

## 1.3 Organization of thesis

The rest of the thesis is organized as follows:

## **Chapter 2**

We review related work. We describe Usage-Based Reading technique and Profiling.

## **Chapter 3**

Covers basic concepts like slicing and intermediate program representation techniques like program dependence graph (PDG), System Dependence Graph (SDG) and Extended System Dependent Graph (ESDG).

## **Chapter 4**

We discuss our proposed metric for object-oriented programs namely influence of statement, influence of method and influence of class.

## **Chapter 5**

We present the experimental studies examples of computing influence of elements of object-oriented program.

## **Chapter 6**

concludes the paper.

# Chapter 2

## Related Work

A large number of work has been reported on prioritizing test cases [14, 15]. But work on prioritizing the code to find out the critical elements of the program has scarcely been reported. Very few research has been done on improving testing before test cases are constructed . One area of such research is software design for testability [16]. This work attempts to give guidelines on how to design software that will be easy to test and hopefully reduce the cost of testing. In this work, for each module preconditions, post conditions and assertions are decided in the design stage of development life cycle. The other area of pretesting effort is code prioritization for testing. Li et al. [17] proposed a priority calculation method that prioritizes and highlights the important parts of the code that need to be tested to quickly improve code coverage. Code coverage is a metric that represent how much of the source code for an application is run when the unit tests for the application are run. In theory, the higher the percentage of code coverage, higher will be the functional quality of the code. But in practice, 100% code coverage does not guarantee that an application has no bugs in it.

### 2.1 Usage-Based Reading (UBR) technique

According to Schache [19], testing is not a separate phase in software development life cycle. Testing must be done in each phase. Special attention can be given, in each phase of software development cycle, to the high priority elements to reduce the probability of errors in these elements. From requirement analysis to design phase, only non execution based testing like inspection and review techniques are applied. Prioritization of components is also necessary at these phases to achieve better reliability level of the product within the time constraints. Some

work has been done at the analysis and design level to identify the components, in which a major error can severely affect the reliability of the system. In a software project, a set of use cases are produced first. These use cases represent the principal way in which the system is to be built. These set of use cases act as a basis for system design and testing. So, it is necessary to prioritize the use cases. It better guides the reviewer during inspection. Usage-Based Reading (UBR) technique [19] can help to find the faults that have the most negative impact on the users perception of system quality . From one use case, a number of scenarios can be generated. It is very difficult to thoroughly review each scenario. So during analysis phase, it also becomes necessary to prioritize the scenarios. Errors in some scenarios can greatly reduce the reliability of the system. Youngchul Kim et. al. [20] have proposed a metric to improve the productivity of the testing process through scenario prioritization. They have used routines to analyze the scenarios for the designer, based on the re-usability of the components or sub-paths.

## 2.2 Profiling

Profiling allows to learn where a program spent it's time and which functions called which other functions while it was executing. This information can show which part of the program is slower than expectation, and might be candidates for rewriting to make the program execute faster. It can also tell which functions are being called more or less often than you expected. This may help you to spot bugs that had otherwise been unnoticed.

Since the profiler uses information collected during the actual execution of a program, it can be used on programs that are too large or too complex to analyze by reading the source. However, in whatever way a program may run, it will affect the information shown in the profile data. If some features of a program have not been used while it was being profiled, no profile information will be generated for that feature.

Profiling involves several steps:

- Compiling and linking the program with profiling enabled.
- Executing the program to generate a profile data file.
- Running some tools like *gprof* to analyze the profile data.

The result of analysis is a file containing two tables, the *flat profile* which shows how much time a program spent in each function and the *call graph* which shows the functions calling another

functions and the functions which are called by a function. It also shows how many times these are being called. This can suggest places where we might try to eliminate function calls that use a lot of time. But the following difficulties make profiling less useful for prioritizing the program.

- Application must be recompiled for profiling.
- Results may be skewed by the insertion of profiling hooks.
- Assumes uniform times for calls of recursive functions.
- Measurement effects distort time of small functions.

# Chapter 3

## Basic Concepts

In this section we present certain basic concepts and terminologies associated to our work that are used in later sections. We first present the basic idea of slicing and then the intermediate representation of programs.

### 3.1 Slicing

A program slice is a part of the code that contributes in computation of certain variable at a program point of interest. More formally a slice can be defined as follows:

**Program Slice** : For statement  $s$  and variable  $v$ , the slice of a program  $P$  with respect to the slicing criterion  $\langle s, v \rangle$  includes only those statements of  $P$  needed to *capture the behavior* of  $v$  at  $s$ .

**Static slicing**: this technique uses static analysis to derive slicing. That is, the source code of the program is analyzed and the slices are computed for all possible input values [6, 7]. No assumptions are made about the input values. Since the predicates may evaluate either to true or false for different values, conservative assumptions have to be made, which may lead to relatively large slices.

**Dynamic slicing**: Dynamic slicing [2,3,4,5] makes use of the information about a particular execution of a program. The execution of a program is monitored and the dynamic slices are computed with respect to execution history. A dynamic slice with respect to a slicing criterion  $\langle s, v \rangle$ , for a particular execution, contains those statements that actually affect the slicing criterion in the particular execution. Therefore, dynamic slices are usually smaller than static slices and are more useful in interactive applications such as program debugging and testing.



**Backward slice:** Backward slicing contains those parts of the program that might directly or indirectly affect the slicing criterion. Thus a static backward slice provides the answer of the question: “which statements affect the slicing criterion?”

**Forward slice:** Forward slice with respect to a slicing criterion  $\langle s, v \rangle$  contains all the parts of the program that might be affected by the variables in  $v$  used or defined at the program points. A forward slice provides the answer to the question: “which statements will be affected by the slicing criterion?”.

**Intra-procedural:** This technique computes slices within a single procedure [1]. Calls to other procedures are either not handled at all or handled conservatively. If the program consists of more than one procedure, inter-procedural slicing can be used to derive slices that span multiple procedures.

**Inter-procedural:** This slicing can be used if the program consists of more than one procedure. For object-oriented programs, intra-procedure slicing is meaning less as practical object-oriented programs contain more than one method and classes. So generally, OOP uses inter-procedural slicing.

## 3.2 Program Representation

In the following, we present a few basic concepts associated with intermediate representation of program that are used in later sections.

### 3.2.1 Control Flow Graph

The control flow graph (CFG) is an intermediate representation for programs that is useful for data flow analysis and for many optimization code transformations such common sub expression elimination, copy propagation, and loop invariant code motion [5,10].

### 3.2.2 Program Dependence Graph

The program dependence graph [8, 9, 10]  $G$  of a program  $P$  is the graph  $G = (N, E)$ , where each node  $n \in N$  represents a statement of the program  $P$ . The graph contains two kinds of directed edges: control dependence edges and data dependence edges. A control(or data) dependence

edges  $(m, n)$  indicates that  $n$  is control(or data) dependent on  $m$ . Note that the PDG of a program  $P$  is the union of a pair of graphs: Data dependence graph and control flow graph of  $P$ .

### 3.2.3 System Dependence Graph

The PDG can't handle procedure calls. Horwitz et al [8, 9, 10]. introduced the System Dependence Graph (SDG) representation which models the main program together with all associated procedures. The SDG is very similar to the PDG. Indeed, a PDG of the main program is a sub graph of the SDG. In other words, for a program without procedure calls, the PDG and SDG are identical. The technique for constructing an SDG consists of first constructing a PDG for every procedure, including the main procedure, and then adding dependence edges which link the various sub-graphs together.

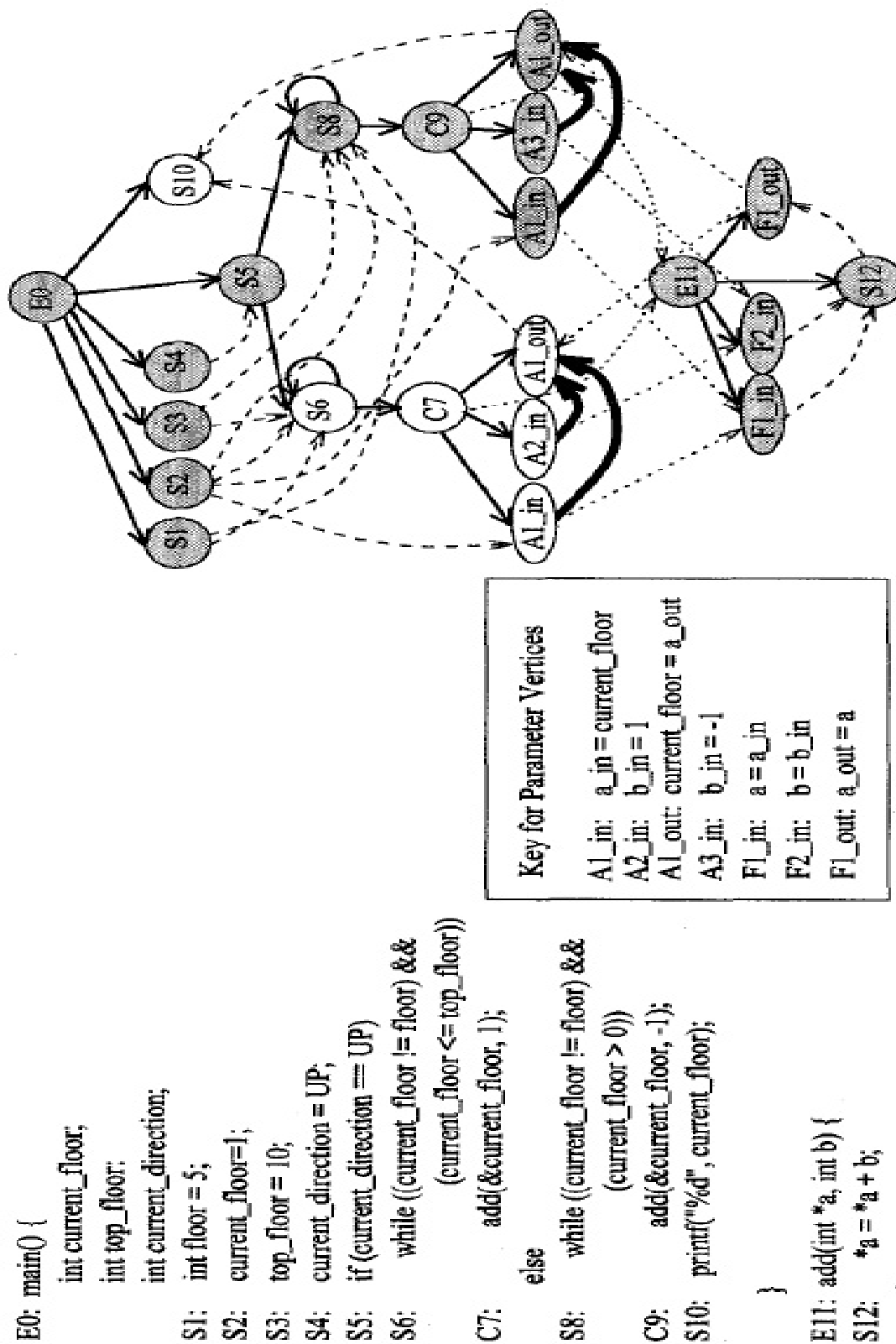
An SDG includes several types of nodes to model procedure calls and parameter passing:

- Call-site nodes represent the procedure call statements in the program.
- Actual-in and actual-out nodes represent the input and output parameters at call site. They are control dependent on the call-site nodes.
- Formal-in and formal-out nodes represent the input and output parameters at called procedures. They are control dependent on procedure's entry node.

Control dependence edges and data dependence edges are used to link the individual PDGs in an SDG. The additional edges that are used to link the PDGs are as follows:

- Call edges link the call-site nodes with the procedure entry nodes.
- Parameter-in edges link the actual-in nodes with the formal-in nodes.
- Parameter-out edges link the formal-out nodes with the actual-out nodes.
- Summary edges are added to represent the transitive dependencies that arise due to procedure calls.

Return contains the variable and data flow edge, from that node to formal-out parameter vertex and corresponding actual-out vertex are created which are joined by parameter-out edge. The data flow edge is added from actual-out vertex to method call vertex.

Figure 3.1: Example program *main* and it's System dependence graph

### 3.2.4 Extended System Dependence Graph (ESDG)

Initially Larson & Hannold [13] has proposed an intermediate representation for object-oriented program called as Extended system dependence graph (ESDG) which is an extension of the SDG. ESDG [8, 9, 10] can model important object-oriented features such as inheritance and polymorphism. ESDG models the main program with all other methods. Each class in a given program is represented by a class dependence graph. Each method in a class dependence graph is represented by procedure dependence graph. Each method has method entry vertex that represent the entry in the method. The class dependence graph contains a class entry vertex that is connected with the method entry vertex of each method in the class by a special edge known as class member edge. To model parameter passing, the class dependence graph associates each method entry vertex with formal-in and formal-out vertices.

The class dependence graph uses a call vertex to represent a method call. At each call vertex, there are actual-in and actual-out vertices to match with the formal-in and formal-out vertices present at the entry to the called method. If the actual-in vertices affect the actual-out vertices then summary edges are added at the call-site, from actual-in vertices to actual-out vertices, to represent the transitive dependencies.

To represent inheritance, we construct representations for each new method defined by the derived class, and reuse the representations of all other methods that are inherited from the base class. To represent the polymorphic method call, the ESDG uses a polymorphic vertex. A polymorphic vertex represents the dynamic choice among the possible destinations. The detailed procedure for constructing an ESDG can be found in [8, 9, 10, 13].

# Chapter 4

## Proposed Methods

### 4.1 Prioritization of Program Elements

In this section, we present our approach to prioritize program elements in accordance to the thoroughness with which they should be tested. We first provide an overview of our approach. Subsequently we provide our approaches to calculate the influence of statement, influence of method and influence of class respectively.

#### 4.1.1 Overview of Our Approach

An object-oriented program comprises of a set of classes. We assume that each class consists of variables and methods. Influence of a class is sum of influence of all it's elements. So we calculate influence of each statement and if a statement involves a method call then influence of method will also be calculated. Our approach is based on static analysis of the code and it does not consider the value of variables. So it can't deal with recursive function calls and loops effectively. Sum of influence of all statements and all relevant methods is the influence of class. This approach statically computes the influence of a class. Execution of program is not necessary. First, we construct the intermediate representation (SDG/ESDG) of the program. Then, we calculate the influence of desired element using the proposed algorithms. We first discuss computation of influence of a statement, then subsequently influence of method and influence of class are discussed.

### 4.1.2 Influence of a Statement

In a program the result of one statement may depend on the result computed by other statements. If the influence is more, then the statement is more critical. The influence of the statement is defined by the number of other statements of the given program which use that variable directly or indirectly. We give a metric to calculate influence considering no call vertex. If a statement is call vertex then its influence will be calculated separately using the influence of method metric and will be added to get total influence of the desired statement.

Influence of the statement expressed as a percentage is given by:

$$\frac{\text{Total number of nodes marked influenced}}{\text{Total number of nodes in graph}} \times 100 \quad (4.1)$$

Let us say  $Influence(u, stmt)$  denote the node  $u$  and statement ' $stmt$ ', where  $stmt$  can be any variable or 'if' or 'while' or 'printf' etc. Let  $(x_1, u_1), (x_2, u_2), \dots, (x_k, u_k)$  be all there outgoing data flow edges of  $u$  in the PDG of that program. Where  $x_1, x_2, \dots, x_k$  are dependency edges and  $u_1, u_2, \dots, u_k$  are nodes.

So influence of a statement corresponding to node  $u$  is given by:

$$Influence(u, stmt) = \{u_1, u_2, \dots, u_k\} \cup \{Influence(u_1, stmt_1) \cup Influence(u_2, stmt_2) \cup \dots \cup Influence(u_k, stmt_k)\}$$

#### Algorithm

**Input:** Program code and the *statement*.

**Output:** *Influence* of given statement.

*StmtInfluence(statement){*

1. Construct ESDG of the program statically.
2. For statement traverse it's all dependency edges and mark them.
3. For each marked node repeat step 2 until no dependency edges are found.
4. If any marked node is a call vertex then calculate its influence using **MethodInfluence(callvertex)**.
5. Count marked nodes and calculate Influence using expression (1).
6. Stop.

*}*

### 4.1.3 Influence of a Method

The result computed by a method of a program affects the other methods and statements. A method may influence one or more methods and other statements of the program. If the influence of the method is more, then method is more critical. We have designed a program metric called Influence of a method for object-oriented programs.

The influence of a method is defined by the number of other statements and other methods of the given program, which uses the results computed by the method directly or indirectly.

If other methods are called by the given method for which we want to find the influence, then the overall influence of the method will be influence of the method itself and the influence of other called methods.

We first represent the input program in ESDG as intermediate representation and after that we apply our proposed algorithm on resulting ESDG. Then we count the number of nodes influenced from that method's formal parameter out nodes as well as other called method's formal out parameters and we count the total no nodes in graph.

The influence of a method expressed as a percentage is given by:

$$\frac{\text{Total number of nodes influenced}}{\text{Total number of nodes in the graph}} \times 100 \quad (4.2)$$

#### *Algorithm*

**Input:** A program and name of the method of that program.

**Output:** Influence of the method.

*MethodInfluence(callvertex){*

1. Construct ESDG of the program.
2. For the method entry vertex of the method traverse all edges and mark them visited.
3. For each visited node traverse through it's all edges marking it's corresponding node as visited and if it is not a call-vertex node then mark it as influenced if not marked already.
4. Check each visited node and if it is a call vertex, traverse through it's call edge and:
  - (a) If next node is polymorphic call vertex then traverse through each polymorphic edge and insert corresponding node in a queue *Q*.

- (b) Else insert the node in  $Q$ .
- 5. Take out nodes from  $Q$ . Mark the node influenced and repeat step 2 to 4 for the node.
- 6. Repeat step 5 until  $Q$  is empty.
- 7. For each node marked as influenced traverse it's all the edges and mark each as influenced if not marked already.
- 8. Calculate influence for the method using expression (2).
- 9. Stop.

}

#### 4.1.4 Influence of a class

The influence of a class is defined as the sum of the influence of other elements of the given program which are using results of the class directly or indirectly. We first represent the input program in ESDG as intermediate representation and after that we apply our proposed algorithm on resulting ESDG. Then, we count the number of nodes influenced. Influence of nodes which involves function call will be calculated by the *MethodInfluence(callvertex)* metric while, influence of all other statements are calculated using *StmtInfluence(statement)* metric. The influence of a class is given as:

$$\frac{\text{Total number of nodes influenced}}{\text{Total number of nodes in the graph}} \times 100 \quad (4.3)$$

##### Algorithm

**Input:** Sample program and name of the class.

**Output:** Influence of the class.

**ClassInfluence(classname){**

- 1. Construct the ESDG of the program statically.
- 2. Traverse to each member of the class through class entry vertex and mark each as visited.
- 3. For each visited node traverse through it's all edges marking it's corresponding node as visited and if it is not a call-vertex node then mark it as influenced if not marked already.



4. Check each visited node and if it is a call vertex then calculate influence of this statement using **MethodInfluence(callvertex)**.
5. For each node marked as influenced traverse it's all the edges and mark each as influenced if not marked already.
6. Calculate influence of the given class using expression (3).
7. Stop.  
}

#### 4.1.5 Complexity Analysis

If  $N$  number of nodes are created in ESDG, at each node there can be maximum  $N - 1$  number of edges.

So, worst case space complexity will be  $N \times (N - 1) = O(N^2)$ .

Similarly, in the PDG (SDG) any edge is visited at most once.

Time complexity=  $O(m)$  where  $m$  is a total number of edges.

# Chapter 5

## Experimental Studies

We have implemented the algorithm for calculation of influence for Java language. Various graphs used in algorithms are drawn using the help of ANTLR and ECLIPSE software. For the experimental study we took five case studies. Then we made two copies of each case study and seeded bugs randomly in each of them. Then we used traditional testing techniques for error detection in first copy. After resolving the errors we analyzed the rate of failure. Then in the second copy of the programs we applied our proposed algorithm and number of test cases for each of the element of the program were taken in the proportion of element's influence. After resolution of errors detected during the testing we checked the number of failures of the case studies.

Influence of the class *Elevator* and its member function are shown in table 5.1

Element	Total number of nodes influenced	Total nodes in program	% of influence
Class Elevator	96	229	41.92
Elevator() E2	8	229	
Elevator() E6	1	229	
up() E7	4	229	
down() E9	4	229	
which_floor() E11	3	229	
direction() E13	3	229	
go() E15	22	229	
add() E21	7	229	

Table 5.1: Influence of elements of class Elevator

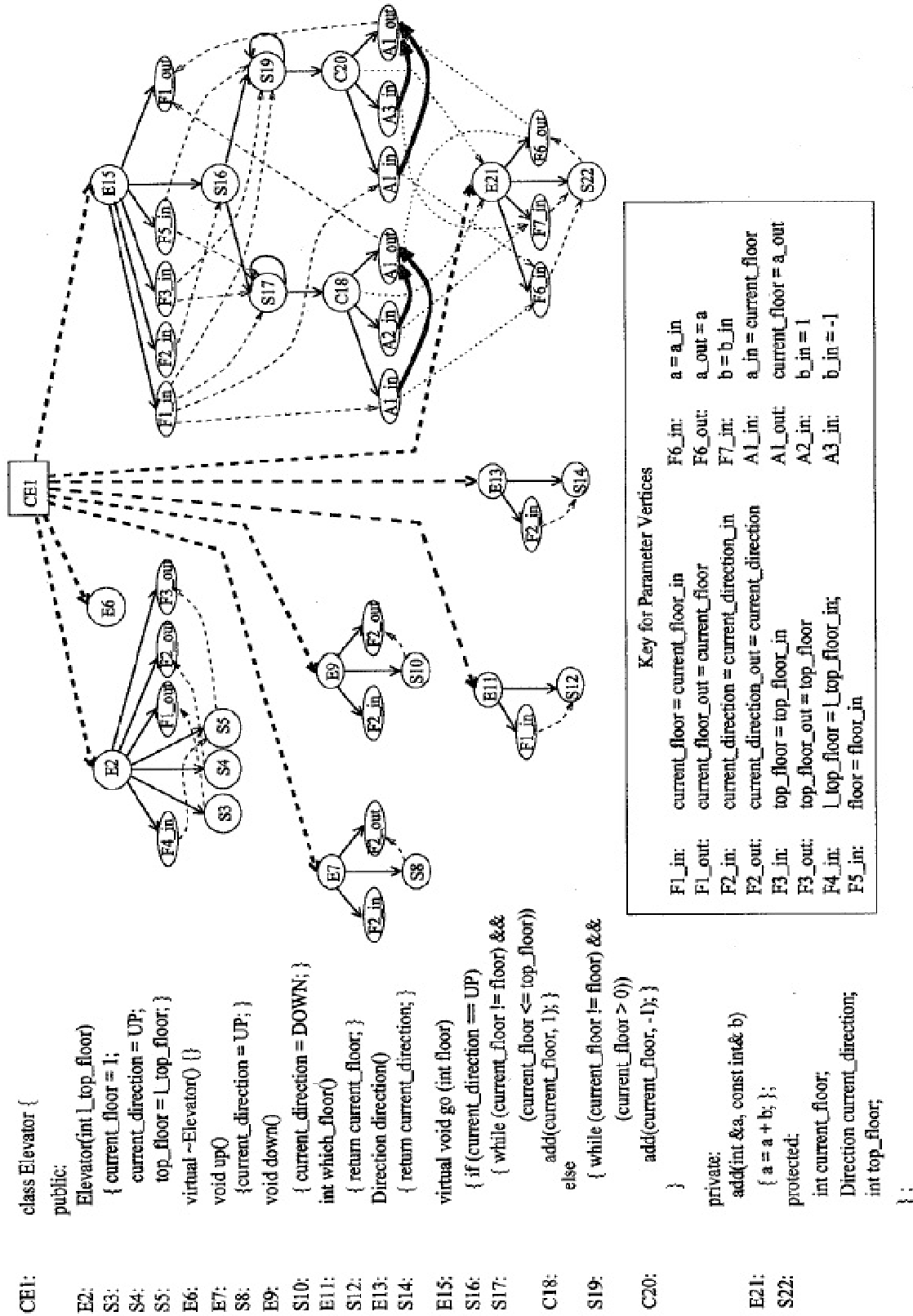


Figure 5.1: A C++ Elevator class and it's System Dependence Graph

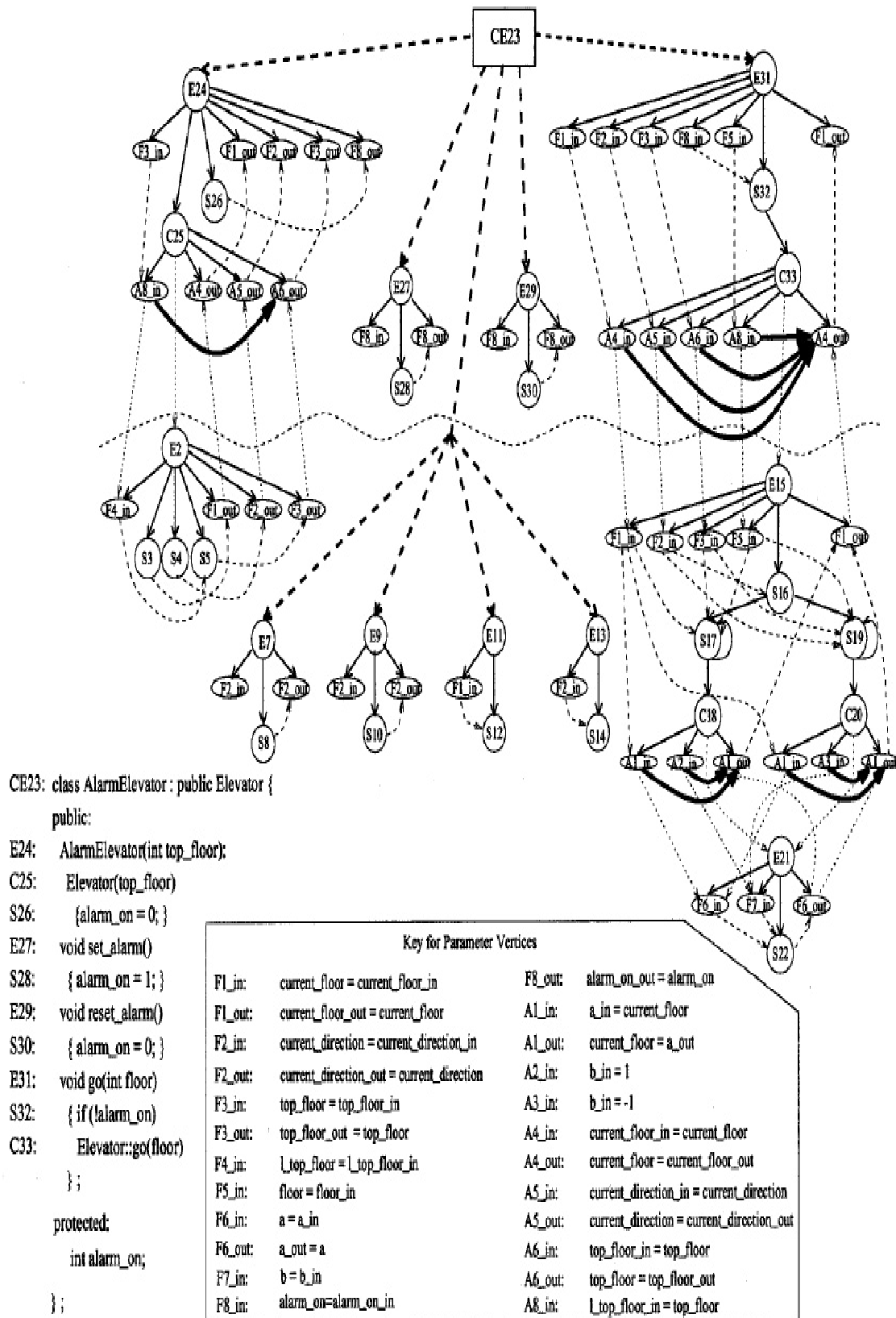


Figure 5.2: A C++ AlarmElevator class and its System Dependence Graph

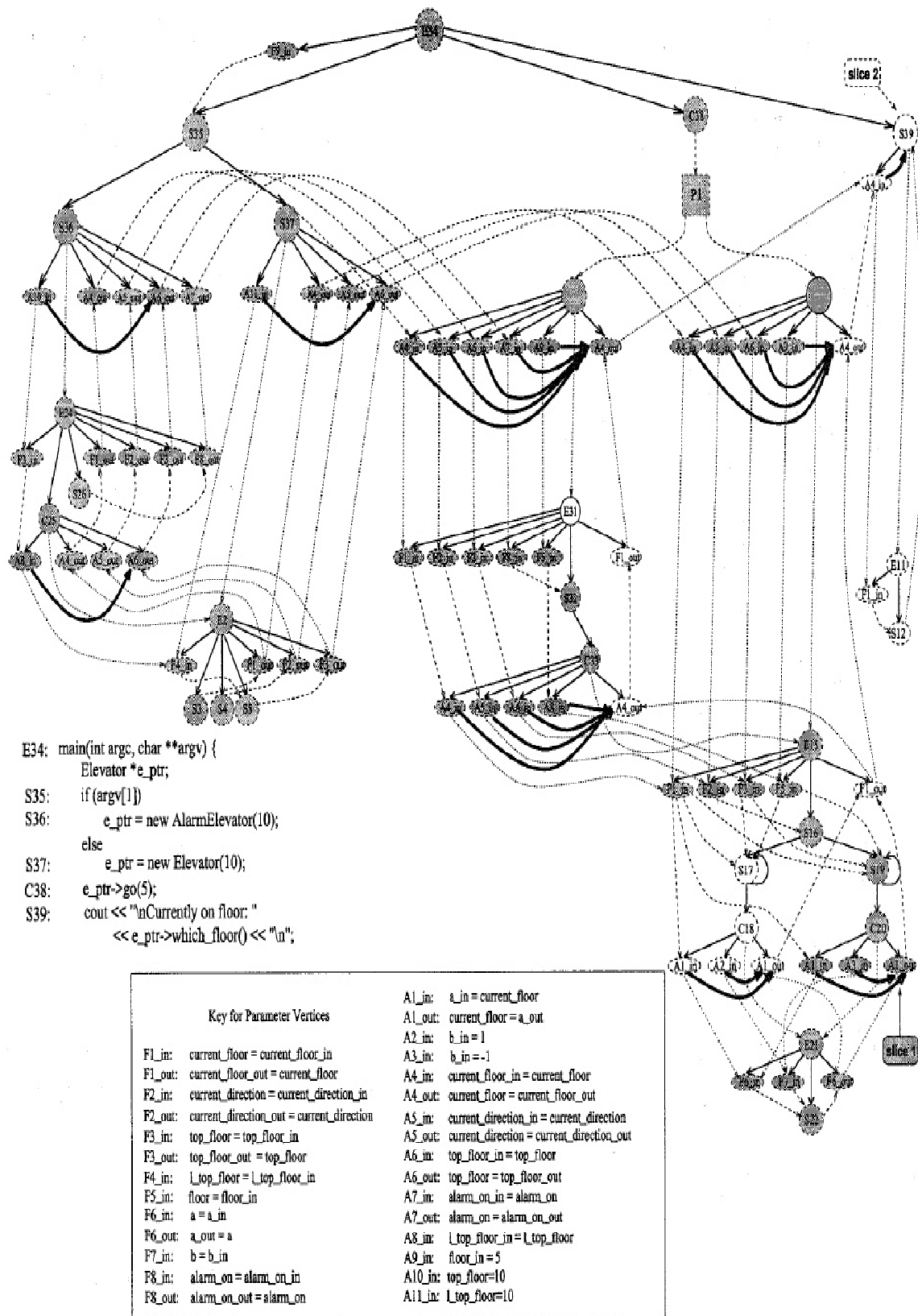


Figure 5.3: System Dependence Graph representation of an Application Program which uses classes Elevator and AlarmElevator

## 5.1 Threats to validity of results

It is required for an experimental study that the results be valid for most general and real life cases. It will be invalid to perform experimental studies for some particular and biased test suites, inputs or failures which may not be targeting real faults. In order to justify the validity of the results of our experimental studies we got the following list of threats:

- Biased test suite design and influencing results.
- Seeding biased errors in two copies of each case study.
- Testing only for selected failures and loosing generality of results.
- Using testing methodologies which may only be suitable for some particular bugs while may not reveal other common and frequent bugs.

## 5.2 Measures taken to overcome the threats

In order to overcome the above mentioned threats and validate the results for most common and real life cases, we have taken the following corrective measures:

- Designed same test suite for both traditional and prioritized testing.
- Used same seeded faults for both the copies.
- We have taken care that the seeded faults match with commonly occurring bugs.
- We have taken in consideration following four kind of failures which include almost all variety of bugs.
  1. Catastrophic: Defects that can cause very serious effects (system may loose functionality, security concerns etc.)
  2. Major: Defects that would cause serious consequences for the system like loosing some important data.
  3. Minor: Defects that can cause small or negligible consequences for the system. Ex. displaying results in some different format.

Case Study	Test Cases	Bugs Seeded	Traditional Testing		Prioritized Testing	
			Bugs Caught	Failures	Bugs Caught	Failures
Car Race	100	60	51	8	54	5

Table 5.2: Comparative Study of two techniques

4. No Effect: This may not necessarily hamper the system performance, but they may give slightly different interpretation and generally avoidable. Ex. simple typographic errors in documentation.
- We have inserted mutation operator to seed fault. Using mutation operator we can not guarantee that the faults seeded are representatives of a particular population, but we can ensure that a wide variety of faults are systematically inserted in a somewhat impartial and random fashion.

## 5.3 Results

The 5.2 shows the analytical comparison of the two methods for same input sets.

We have taken test cases based on operational profile of the case study in each test suite. In the traditional testing method, we seeded bugs in each class in random fashion and tested the first copy of the case study using both structural and functional testing methodologies. The number of seeded bugs for each class are selected using the operation profile of the case study. For prioritized testing method, we tested second copy of the case study with the same number of test cases and with the same testing methodologies as in first copy but, the number of test cases for each class are taken according to its influence. In this case, we seeded the bugs in each method of the class according to its influence. Hence, in the prioritized testing method the elements with higher influence are tested with more number of test cases.

From the above table it is clear that as we gave more efforts in testing the more influenced elements we caught some more bugs. Although the number of extra caught bugs in each sample program were not many in number but the number of failures of the programs were reduced greatly. This shows that if we reduce the number of bugs from more critical elements by testing them more exhaustively the rate of failure is reduced. In each phase of software development cycle we can use the results and can give extra efforts to develop the more critical elements.

## 5.4 Conclusion

We have purposed a program metric which called the influence of program elements. The influence shows that which elements affect more than others in a program. So the elements with higher influence are more critical and presence of bugs in them will increase the probability of failure of software. So, the purposed metrics greatly help in finding out the more critical elements and guides to take utmost care in developing the elements with higher influence during software development cycle. This also suggests that elements with least priority can be tested with least number of test cases rather than giving similar efforts as more critical elements and hence saving the very important time for testing the more critical elements.

- It is based on static analysis of a program.
- Useful in test case design and test case prioritization.
- Useful to characterize the influence of various components of the program. So one can have more reliable components to be tested thoroughly.



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